

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 66638-40275	
In Re Application Of YOUNG, Keith A.				
Serial No. 10/622,888	Filing Date July 17, 2003	Examiner JOHNSON, Jonathan	Group Art Unit 1725	
Title: <b>FRICITION STIR WELDED ASSEMBLY AND METHOD OF FORMING A FRICTION STIR WELDED ASSEMBLY</b>				
<div style="text-align: center;"> <p>Address to:</p> <p><b>Commissioner for Patents</b></p> <p>P.O. Box 1450</p> <p>Alexandria, VA 22313-1450</p> </div> <div style="text-align: center; margin-top: 10px;"> <b>37 CFR 1.97(b)</b> </div> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <b>37 CFR 1.97(c)</b> </div> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px;"> <input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div> <div style="text-align: center; margin-top: 10px;"> <b>OR</b> </div> <div style="margin-left: 40px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>				

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
66638-40275

In Re Application Of:

YOUNG, Keith A.

JAN 06 2005

Serial No.  
10/622,888

Filing Date  
July 17, 2003

Examiner  
JOHNSON, Jonathan

Group Art Unit  
1725

Invention:

**FRICION STIR WELDED ASSEMBLY AND METHOD OF FORMING A FRICTION STIR WELDED ASSEMBLY**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement here below:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

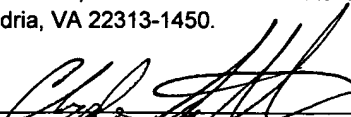
  
Signature

Dated: January 3, 2005

Clyde L. Smith, Reg. No. 46,292  
Thompson Coburn LLP  
One US Bank Plaza  
St. Louis, Missouri 63101-9928  
314-552-6338  
314-552-7338 FAX

**Certificate of Mailing by First Class Mail**

I certify that this document is being deposited on January 3, 2005, with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and addressed to: Mail Stop FEE AMENDMENT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

  
Signature of Person Mailing Correspondence

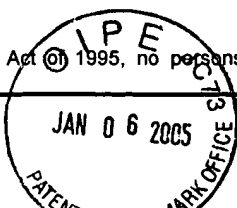
Clyde L. Smith

Typed or Printed Name of Person Mailing Correspondence

Customer No: 021888

P10C/REV02

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/622,888
Filing Date	July 17, 2003
First Named Inventor	YOUNG, Keith A.
Art Unit	1725
Examiner Name	JOHNSON, Jonathan
Attorney Docket Number	66638-40275

Sheet	1	1
-------	---	---

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- US 2002/0050508 A1	05-02-2002	Yoshinaga	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		Abstract/Zusammenfassung/Abrege		04076128.0		
		Japan Abstract	03-26-1999	11081656		
		Japan Abstract	01-19-1999	11010365		
		Japan Abstract	06-27-2000	2000176655		
		Japan Abstract	09-11-2001	2001246482		
		Japan Abstract	02-22-2000	2000052065		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

2454487 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.